

WAC 460-21C-040 Notification of termination. The broker-dealer must promptly notify the financial institution if any agent of the broker-dealer who is employed by the financial institution is terminated for cause by the broker-dealer.

[Statutory Authority: RCW 21.20.100, 21.20.450. WSR 00-05-055, § 460-21C-040, filed 2/14/00, effective 3/16/00.]